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### **Journal of The Arab Institute of Navigation**

Semi Annual Scientific Journal Volume 48 (Issue 2) July 2024 pISSN (2090-8202) - eISSN (2974-4768) https://doi.org/10.59660/48072 INDEXED IN (EBSCO)

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### **Collision between supply vessels and offshore installations case cargo handling and personal transferring operation**

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**DOI NO. https://doi.org/10.59660/48701**

**Received 12/08/2023, Revised 28/11/2023, Acceptance 01/01/2024, Available online and Published 01/07/2024**

### **المستخلص**

هذا البحث يقدم منظو مة تهدف إلى تحليل المخاطر المر تبطة بالأخطاء البشر ية و العوامل المر تبطــة بـهـا، و التــي ينجم عنها تصادم بين سفن الإمداد والتمـوين والمنشـآت البحريـة مـع التركيـز علـى ثـلاث فئـات مـن المخـاطر الرئيسية هى عملية نقل البضائع والأفراد،وعدم الحفاظ على موقع السفينة،ومناورات السفينة لإنجاز هذه المهمة تم تنظيم هذا البحث إلى ثلاث مراحل منفصلة وتطبيقها كدراسة حالة على عملية نقل البضائع والافراد.

تم استخدام نمـو ذج حالـة الانهيـار والتـأثير ات، والحر جيـة (FMECA) لتحديد المخـاطر . كمـا تـم استخدام النمـوذج التفسير ي الميكلي (ISM) متعدد المعايير لتصنيف سينار بو هات المخاطر بطر يقة أكثر تفصـيلاً ممـا يسـهل عمليـة اتخـاذ القرار (MCDM). بالإضافة إلى ذلك، تم إجراء تحليل لعواقب سيناريو هات الحـوادث لسـفن الإمـداد والتمـوين باستخدام تحليل شجرة الأخطاء (FTA) لتقييم الأسباب الجذريــة للحـو ادث الـذي هـو مـنـهج شــامل لتقيـيم وإدار ة المخاطر نى إطار منهجية تقييم الستمة الرسمية (FSA (للمنظمة البحرية الدولية (IMO(.

#### **Abstract**

This paper presents a systematic methodology aiming at investigating human-error induced collisions between attendant vessels and offshore installations, with focus on three key risk categories: Cargo handling and personnel transferring (CH), loss of station keeping (SK) and ship handling and maneuvers (SH). Because of space limitation, the methodology will be applied here to the first category (CH) only.

The Failure Mode, Effects and Criticality Analysis (FMECA) method was used to identify the hazards. Moreover, the Interpretive Structural Modeling (ISM) of Multi Criteria Decision Making (MCDM) was used for the same purpose and results of both methods were favorably compared. Moreover, the Fault Tree Analysis (FTA) was used to evaluate the root causes of accidents down to the Underling Factors (UFs) benefiting from the revised guidelines for the IMO Formal Safety Assessment (FSA).

### **Background**

It is unanimously agreed upon that the majority of collision incidents between Offshore Supply Vessels OSVs and offshore installations are caused by human errors (Sánchez-Beaskoetxeaa, 2021).

The analysis of collision between attendant vessels and offshore installations, specifically focusing on human error, within the framework of risk management, presents inherent challenges due to numerous factors and causes that significantly influence this type of operation. This subject is a topic of considerable concern and exploration in numerous scholarly articles and research papers. Therefore, many researchers have attempted to come up with a methodology that would respond to the magnitude of the risks involved; however, reviewing the literature reveals that there has not been a one methodology that is considered the standard of the industry. Rather, some research endeavors have been attempted.

For instance, Tvedt (2014) considered collisions between attendant vessel and offshore installations and proposed a framework for risk modeling. The model provided no quantifications however, it a good foundation for future work. The generic collision scenarios involved have been analyzed using FTA to identify and break down the operational barrier functions available to reduce collisions. It tackled only three scenarios of attendant vessel- installations collisions while on voyage and did not consider attendant vessel operations within the 500m- zone.

Also, Azad (2014) employed the FMECA tool to assess risks related to attendant vessel, but again did not consider collision incidents between attendant vessel and offshore installations. In their research, Yasa and Akylidiz (2018) suggested a framework for applying FSA on attendant vessel aiming to improve safety. Their work has been based on expert judgments and historical data. They also recommended using FTA, ETA and Failure Mode and Effect Analysis (FMEA) as assessment tools. The study provided general and basic guiding for future research, but was not specific to the case of collision. Moreover, Zhu et al. (2022) were pioneers in employing ISM in their work in the offshore industry risk assessment; however, their work was current with storm risk and was not specific to attendant vessel.

This research attempts to fill this gap by proposing such a methodology and this is presented in the following three sections. The first section reviews the current risk management frameworks in the offshore maritime industry. The second section proposes the methodology for identifying, analyzing and evaluating the risks of human errors caused attendant vessel offshore installations collisions. Finally, the implementation of the methodology is detailed. considering one risk category only, i.e. cargo handling and personnel transferring.

#### **Review of the current risk management frameworks in the offshore maritime industry**

The risk management process for collisions involves a series of sequential steps that are placed within a framework. One of the most commonly used frameworks for risk management in the offshore industry is the Formal Safety Assessment (FSA) process used by the International Maritime Organization [IMO], (2019)

The FSA process steps are visually depicted in the flowchart of Figure (1) .Five distinct steps, commencing with system definition and concluding with cost-benefit assessment, constitute the process.



**Figure (1): Flow chart of the formal safety assessment process (IMO, 2019)**

There are other frameworks and methodologies, such as Hazard and Operability Studies (HAZOP), Failure Mode and Effects Analysis (FMEA), and Layers of Protection Analysis (LOPA), that are used in the offshore industry to assess and manage risks (Health and Safety Executive [HSE], 2015). Nonetheless, this research adopts the FSA methodology, which Where the FSA represents a baseline for the proposed methodology because of its comprehensiveness, support in early identification of potential failures and compliance with regulations.

### **Methodology for identifying, analyzing and evaluating the risks of human error caused OSVoffshore installation collisions**

Figure (2) presents the organizational framework for risk management in attendant vessel operations within the 500m zone. Thus, the first steps included hazard identification and scenario definition. The following categories of risk scenarios were taken into consideration: (1) cargo handling and personnel transferring (CH), (2) ship handling and maneuvering (SH) and (3) loss of position keeping (SK).

The methodology proposed analyzes and evaluates these risks using a combination of quantitative and qualitative methods. It takes into account quantification of the frequency of occurrence of an event and its associated consequences (Brannen, 2017).



**Figure (2): The organizational framework of risk management; OSV-offshore installation collision**

The aforementioned quantification of frequency of occurrence of an event and its consequences is transformed into frequency indices and severity indices, which serve as the basis for determining the risk indices. Subsequently, these indices are utilized to assess the risk to human life, to the environment, to the cargo and to the ship.

Then, risk analysis stage follows, which aims to develop an understanding of the vessel's risks and provide input data for the evaluation stage. Risk analysis involves assessing the two key components: probability/frequency and severity. Additionally, other relevant attributes are considered. This comprehensive risk analysis process incorporates both qualitative and quantitative impact assessment for each risk scenario category associated with attendant vessel-offshore

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installation collisions, considering human errors and their underlying factors. Once the risk analysis stage is completed, it is straight forward to evaluate the risk index using the equation:

$$
\mathbf{R} = \mathbf{F} \times \mathbf{C} \tag{1}
$$

where  $\bf{R}$  is the risk,  $\bf{F}$  the frequency, and  $\bf{C}$  the consequence(s). Alternatively, the logarithmic form can also be used:

$$
Log (R) = log (F) + log (C),
$$
 (2)

or simply

$$
\mathbf{R} = \mathbf{F} + \mathbf{C},\tag{3}
$$

where  $\mathbf{\mathcal{R}}$  is the risk index,  $\mathbf{\mathcal{F}}$  the frequency index, and  $\mathbf{\mathcal{C}}$  the consequence index (Skjong, 2002). Then, the hazard and scenarios are ranked accordingly, the process of proposing RCMs for mitigating the impact after most hazardous scenarios practiced. This process is complemented by a cost-benefit analysis, which aids in establishing a set of useful criteria for decision-making. Decisions are made with consideration for the broader context of risks, risk barriers and the risk tolerance of stakeholders, thereby complementing the overall risk management process.

#### **Details of the Implementation of the FSA Methodology**

Expert opinion demonstrates that risk management is an ongoing and iterative process that occurs throughout the entire duration of an activity. This process follows a cyclical nature and consists of five essential stages: establishing the organizational context and planning for risk, identifying hazards, conducting risk analysis (including quantitative, semi-quantitative, and qualitative approaches), establishing risk management strategies, and implementing monitoring and control measures (Goerlandt & Montewka, 2015). The proposed methodology in this study incorporated multiple approaches for risk planning, identification and ranking of hazard scenarios. First, experts identify the most significant operation scenarios, specifically focusing on collisions between attendant vessel and platforms caused by human errors and related factors. Historical events serve as primary data, combined with experts' judgment to assess risks.

To rank the contributing factors, the methodology recommends utilizing FMECA, an analytical method employed in the evaluation of mechanical and electrical systems to assess the potential consequences and probability of failure (Stavrou & Ventikos, 2015). Primarily, FMECA serves as a quantitative and qualitative tool for expert judgment during workshop-based sessions, which encompasses the following steps:

(i) Process identification, (ii) Listing operation function, (iii) Describing failure mode, (iv) Describing failure effect, (v) Describing failure causes, (vi) Describing failure probability, (vii) Describing failure severity, and (viii) Assigning risk priority number. to identify, evaluate, and prioritize pertinent hazards.

In this context, a group of experts is presented with specific questions pertaining to the object or system being analyzed, such as identifying potential failures, estimating their frequency, and

evaluating their severity. FMECA primarily focuses on conducting thorough analyses to assess system reliability and inform decision-making processes.

On the other hand, ISM is a widely recognized methodology used to establish relationships among specific components that address problems or raise concerns. Complex situations often involve interconnected aspects and considering each element in isolation may not accurately capture the overall situation. ISM helps provide a clearer understanding of the relationships between different components, both direct and indirect, which contributes to a more comprehensive depiction of the situation. By employing ISM, it is possible to gain insights into how individuals perceive these linkages in a general sense (Attri et al., 2013). Implementation of ISM involves the following steps (Vinodh, 2021): (i) Identifying structural self-interaction matrix, (ii) Developing initial reachability matrix, (iii) Establishing final reachability matrix, (iv) Levelling partition, (v) Developing a digraph, and (vi) modifying the ISM model.

In the current methodology, therefore, both FMECA and ISM tools are used for ranking the contributing factors and the results obtained are compared. This comparison is meant to aid in decision making regarding the ranking of operation types concerning human error and their underling factors.

The next stage of the methodology includes risk assessment. For such an objective, the framework recommends utilizing the widely adopted Fault Tree Analysis (FTA) tool to evaluate the root causes of accidents down to the UFs. Hence, FTA helps recommend Risk Control Measures (RCMs) that would subsequently reduce/mitigate risk of collision with emphasis on the most recurring causes of hazards associated with human error and UFs.

As per the International Association of Oil and Gas Producers (IOGP, 2010) provided by the [Bureau of Safety and Environmental Enforcement](https://www.bsee.gov/) [BSEE], (2023). Fault Tree Analysis (FTA) is a logical framework that defines the sequence of events required for an undesirable improper event to occur. In FTA, the undesirable event threat is usually placed at the top of the diagram. The analysis involves the use of gates to represent the relationship between events at different levels (IOGP, 2010).

There are two common types of gates in FTA: (a) OR gate (the event above this gate occurs if any one of the events connected below it occurs), and (b) AND gate (the event above this gate occurs only if all of the events connected below it occur simultaneously).

By implementing all the previous steps using the recommended methods and tools, the framework becomes complete. Hence, the proposed methodology is comprehensive in the sense that it embeds a mixture of both inductive and deductive approaches, and also uses qualitative and quantitative designs, which matches with the realism philosophy and the mixed approach that was selected to support decision making to improve safety (Smart, 2014).

### **Results and Discussion**

The primary data required for FMECA analysis was collected via brain storming and in-person discussion sessions from a group of experts who were selected based on their experience in the offshore industry to identify the different operation hazard scenarios and later to conduct FMECA;

the group consisted of five attendant vessel captains. The years of experience for the individual experts ranged from 15 to 25 years. Since those experts came from different time zones and remote locations, zoom internet video sessions were carried out according to time suitability for the experts.

On the other hand, the secondary data was collected from IOGP reports. This data was in the form of historical attendant vessel -platforms collision accidents and incidents and was used to identify three different groups of hazard scenarios  $(G_1, G_2 \text{ and } G_3)$ . Group  $G_1$  summarizes Loss of Station Keeping  $(SK)$  (8 scenarios), group  $G_2$  Cargo Handling  $(CH)$  and personnel transferring (8 scenarios), and group  $G_3$  Ship Handling (SH) and maneuvering (16 scenarios). The current paper is concerned with group  $G_2$  only, consisting of eight scenarios, as shown in Table  $(1)$ . These were further compressed to three scenarios only, namely CH-1, CH-2 and CH-3, as shown in Table (2), to reduce the effort provided by the experts and the time they spend to structure the FMECA and FTA analyses. Furthermore, the data used did not have accident reports including details about all the CH scenarios. In addition, the process of compression was essentially proposed by three experts. Moreover, Table (3) lists twenty eight underling factors of human errors, together with their individual definitions.

Table (4) illustrates the details of the FMECA session based on three accidents in the Gulf of Mexico (GOM). The table lists the risk index in each case, based on frequency and severity indices in terms of Health and Safety (H&S) and Environment (E),each corresponding to one CH scenario, in accordance with Loer et al., (2007). It also lists the failure causes (underling factors) associated with each accident based on Table 3. As may be seen from the RI values, the second scenarios CH-2 is the most risky scenario, followed by CH-3 and CH-1.

N <sub>0</sub> .	<b>Scenario</b>
$\mathbf{1}$	Bulk hoses of the wrong length
2	Crane limited to one side
3	Change in work scope (i.e., extended duration, hose work, etc.)
$\overline{4}$	Unjustifiable prolonged periods near risers or other sensitive area
5	Idle time alongside installation "non-productive time"
6	Inadequate carne outreach
$\overline{7}$	Unjustifiable prolonged periods of hose connections
8	Excessive stand-by time for the next lift or remaining connected to bulk hose

**Table (1): Cargo handling (CH) and personnel transferring scenarios** 





### **Table (3): Definitions of UFs of human errors**







### **Table (3): Definitions of UFs of human errors (Cont'd)**







Table (4): FMECA session and classification of hazards related to cargo handling and Personnel Transferring (CH) Category

Although the three scenarios have been ranked using the FMECA, the process falls short of providing the influence of the scenarios on each other. Therefore, ISM model was used for two reasons: (I) to further confirm the results obtained from the FMECA and (ii to measure the mutual influences of the scenarios on each other. The ISM **re**sulted in the digraph shown in Figure (3), which illustrates the sub-dimensions of CH category. It is observed that CH-2 is considered as an influencing sub-dimension on the other sub-dimensions. On the other hand, the sub-dimensions, CH-1 and CH-3 are of equal ranks.



**Figure (3): Digraph for cargo handling category sub-dimensions**

Contrasting these results to those of FMECA, it becomes clear that both models have provided similar ranking, although RI values related to environment ranked CH-3 above CH-1. The experts, however, tended to be more in favor of the ISM results, because they show the mutual influences, as has been explained above. To summarize, both FMECA and ISM have shown the CH-2 is the most hazardous scenario, followed by CH-3 and CH-1. It remains to further investigate these accidents more deeply to single out the route cause (underlying factors) behind them; this was attempted implementing the FTA. It was felt, however, that using the scenarios of Table (1) would provide more accurate results compared to using the compressed scenarios of Table (2).

Figure (4) demonstrates the FTA for improper cargo handling and personnel transferring as the second threat (T2) that leads to the top event of collision between attendant vessel and offshore installation. The sequence of analysis proceeds from the bottom level of UFs to the next level up using the 'AND'/'OR' gates. The figure shows that the top hazardous scenario is spending idle time alongside installation. The idle time is exemplified by time spent waiting for next lift, personnel transfer or to disconnect cargo hose. It may also be because of change in work scope, for instance by adding tasks. The previous probable reasons may be also combined with any malfunction in the DP system or weather factor that can cause the vessel to collide with the offshore installation closest to the vessel.



**Figure (4): FTA analysis for CH scenarios**

Generally, the current endeavor has shown that the causes of marine accidents primarily depend on more than one category of human factors. Additionally, it has confirmed that by addressing and influencing those human factor categories, the frequency of marine accidents can be reduced, leading to an overall improvement in shipping safety. Thus, after identifying the top UFs contributing to attendant vessel -installation collisions, future work would recommend a set of control measures to reduce the risk level.

Comparison of FMECA and FTA results show that 'Inadequate knowledge of ship operations' and 'Handling error in collision avoidance' came on top of the causing UFs in both analyses. It also identified many similarities in the top five causing UFs. This was important to validate the results, identify the critical UFs, and propose appropriate RCMs accordingly.

Furthermore, the researcher sought to validate the above results of other researchers; however, there is much rarity in available data that are related to quantifying UFs of HEs as contributing causes to maritime accidents. This rarity is even greater when it is specified to attendant vesselinstallation collisions.

### **Conclusion**

Collisions between attendant vessels and offshore installations during cargo handling and personnel transferring pose a substantial risk within the oil and gas industry. Human factors significantly contribute to these incidents. While several methodologies and tools have been created to evaluate and mitigate these risks, there are still shortcomings and constraints in the existing frameworks. To mitigate these risks effectively, it is crucial to address the human factors involved, establish comprehensive guidelines for risk assessment and management, and continuously explore new technologies through ongoing research. By taking these important steps

and implementing the proposed framework, the aim to minimize the likelihood and severity of collisions between attendant vessel and offshore installations can be achieved. It is also worth mentioning that the proposed methodology and framework can be employed by other researchers in different applications to enhance safety in the maritime industry, or elsewhere.

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